Attorney Docket No.: 19880-003900 Client Ref.: 302

## DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD AND APPARATUS FOR COLLECTING AND REPORTING CONSUMER TREND DATA IN AN INFORMATION DISTRIBUTING SYSTEM the specification of which was filed on 12/14/00 as Application Serial No. Unassigned.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I claim no foreign priority benefits under Title 35, United States Code, Section 119.

I claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

Application No.	Date of Filing
60/253,417	11/27/00

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Inventor 1	Last Name: GORDON	First Name: DONALD	Middle Name or Initial: <b>F.</b>	
Residence & Citizenship:	City: Los Altos	State/Foreign Country: <b>CA</b>	Country of Citizenship: US	
Post Office Address:	Post Office Address: 170 Formway Court	City: Los Altos	State/Country: <b>CA</b>	Postal Code: <b>94022</b>
Signature & Date:	Signature of Inventor:		Date:	

Full Name of Inventor 2	Last Name: FEINBERG	First Name: BRIAN	Middle Name or Initial:	
Residence & Citizenship:	City: Cupertino	State/Foreign Country: CA	Country of Citizenship: US	
Post Office Address:	Post Office Address: 19782 Bixby Drive	City: Cupertino	State/Country: <b>CA</b>	Postal Code: <b>95014</b>
Signature & Date:	Signature of Inventor:		Date:	

Full Name of	Last Name:	First Name:	Middle Name o	r Initial:	
Inventor 3	BAYRAKERI	SADIK			
Residence &	City:	State/Foreign Country:	Country of Citi	Country of Citizenship:	
Citizenship:	Foster City	CA	Turkey		
Post Office	Post Office Address:	City:	State/Country:	Postal Code:	
Address:	733 Shell Boulevard,	Foster City	CA	94404	
	#104				
Signature & Date:	Signature of Inventor:		Date:		

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN Applicant or Patentee: DONALD F. GORDON et al.

Title:

١, إ

ij

ļ, £

METHOD AND APPARATUS FOR COLLECTING AND REPORTING

Attorney Docket No.: 19880-003900

Client Ref.: 302

CONSUMER TREND DATA IN AN INFORMATION DISTRIBUTING

**SYSTEM** 

Application Serial No.: Unassigned Filing Date:

12/14/00

I hereby declare that I am:

the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern:

**DIVA Systems Corporation** 

Address of Small Business Concern:

800 Saginaw Drive, Redwood City, CA, 94063

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §121.12, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a fulltime, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND APPARATUS FOR COLLECTING AND REPORTING CONSUMER TREND DATA IN AN INFORMATION DISTRIBUTING SYSTEM by inventor(s) DONALD F. GORDON et al. described in Application Serial No. Unassigned, filed 12/14/00.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR §1.9(d), or a nonprofit organization under 37 CFR §1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

**DIVA Systems Corporation** 

Address: 800 Saginaw Drive, Redwood City, CA, 94063

[ ] Individual [ X ] Small Business Concern [ ] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:	Stephanie Storms	
Title of Person if other than Owner:	Senior Vice President	
Address of Person Signing:	800 Saginaw Drive, Redwood City, CA, 94063	
Signature:	Date:	